

Ball-collision decoding

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joint work with Daniel J. Bernstein and Tanja Lange

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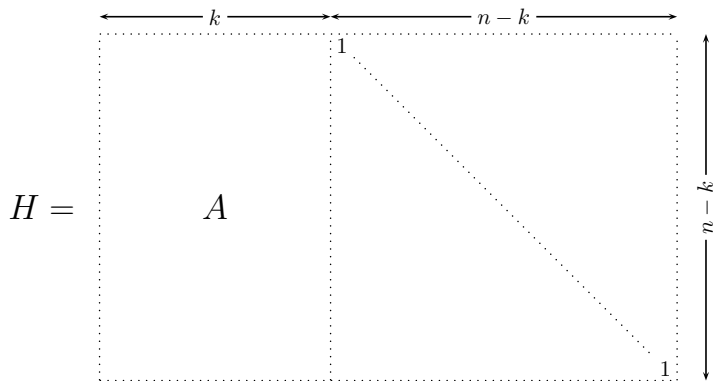
Problem

- Given a parity-check matrix $H \in \mathbf{F}_2^{(n-k) \times n}$ of a binary linear code, a syndrome $s \in \mathbf{F}_2^{n-k}$, and a weight $w \in \{0, 1, 2, \dots\}$.
- Assume that $w \leq$ half the minimum distance of the code with parity check matrix H .
- Attacker needs to find a vector $e \in \mathbf{F}_2^n$ of weight w such that $s = He^t$.
- Assume that the attacker does not know the structure of the underlying code.

1. The ball-collision decoding algorithm
2. Relation to previous algorithms
3. Complexity analysis
4. Concrete parameter examples
5. Asymptotic complexity
6. Choosing parameters

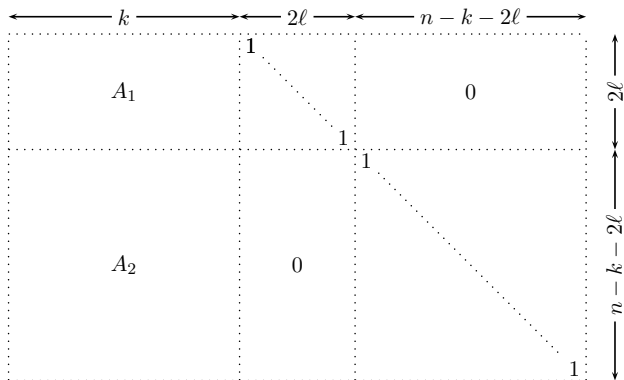
Ball-collision decoding

For simplicity assume $s = 0$. Goal: find w columns of the parity check matrix H adding up to zero.



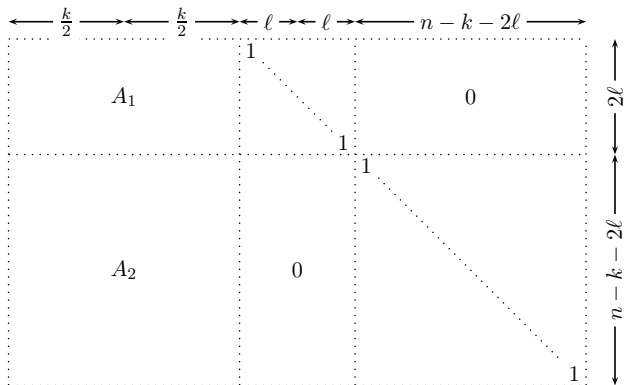
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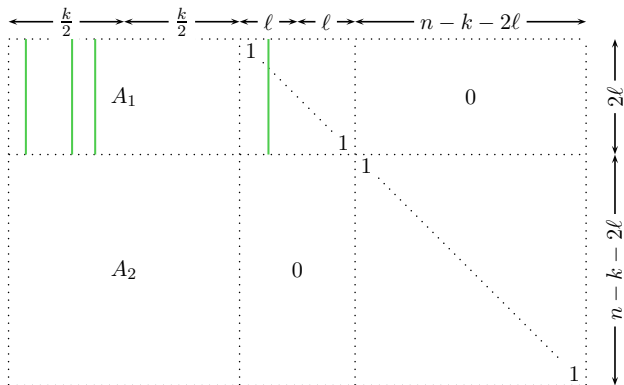
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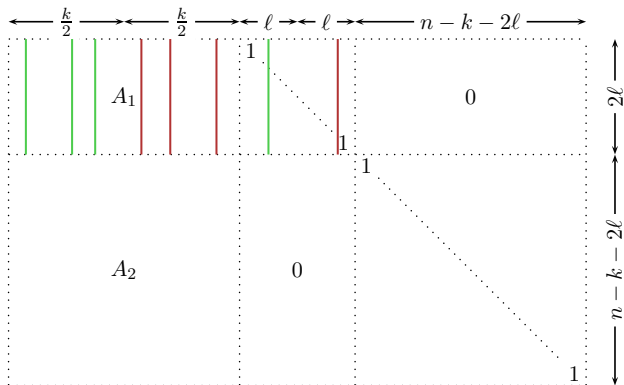
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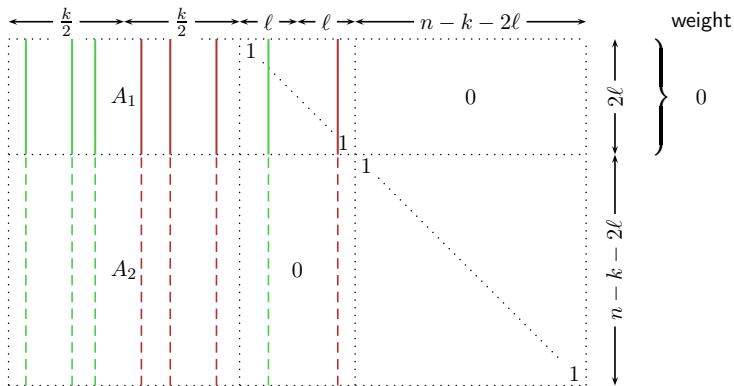
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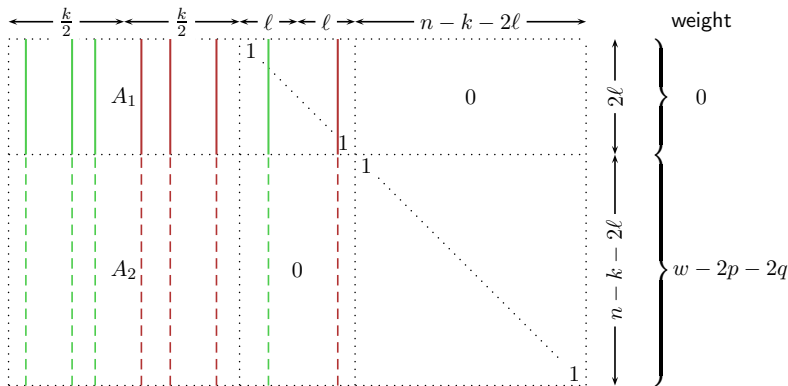
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If the sum has weight $w - 2p - 2q$ add the corresponding $w - 2p - 2q$ columns in the $(n - k - 2\ell) \times (n - k - 2\ell)$ submatrix.

Else make a new column selection.

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Collision decoding

- Stern's algorithm is, aside from trivial details, exactly the special case $q = 0$.
- Dumer independently introduced the core idea, although in a more limited form, and achieved an algorithm similar to Stern's.
- Collision decoding searches for collisions in $\mathbf{F}_2^{2\ell}$ between points A_1x_0 (sum of p cols on ℓ positions) and points $A_1y_0 + s_1$ (sum of p cols + syndrome on ℓ) positions.

Supercode decoding

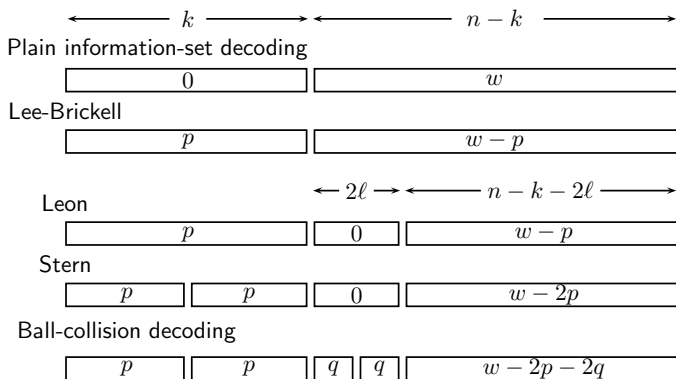
Ball-collision decoding is inspired by one of the steps in supercode decoding.

- [BKvT99] Barg, Krouk, van Tilborg. **On the complexity of minimum distance decoding of long linear codes.** IEEE Transactions on Information Theory, 45(5):1392–1405, 1999.

H_1	$\begin{matrix} 1 & & & & \\ & 1 & & & \\ & & \cdots & & \\ & & & 1 & \end{matrix}$	0	0	0	A_1	\rightarrow	\vdots	θ_1
H_2	0	$\begin{matrix} 1 & & & & \\ & 1 & & & \\ & & \cdots & & \\ & & & 1 & \end{matrix}$	0	0	A_2	\rightarrow	\vdots	θ_2
H_3	0	0	$\begin{matrix} 1 & & & & \\ & 1 & & & \\ & & \cdots & & \\ & & & 1 & \end{matrix}$	0	A_3	\rightarrow	\vdots	θ_3
H_4	0	0	0	$\begin{matrix} 1 & & & & \\ & 1 & & & \\ & & \cdots & & \\ & & & 1 & \end{matrix}$	A_4	\rightarrow	\vdots	θ_4
	\mathcal{N}_1^c	\mathcal{N}_2^c	\mathcal{N}_3^c	\mathcal{N}_4^c				

Figure in [BKvT99, Section III]

Error distribution in various ISD algorithms



Include ball-collision decoding in visual comparison by Overbeck & Sendrier. **Code-based Cryptography**, in *Post-Quantum Cryptography* (eds.: Bernstein, Buchmann, and Dahmen).

Ball-collision decoding

- Expand each p -sum A_1x_0 into a small ball namely $\{A_1x_0 + x_1 : x_1 \in \mathbf{F}_2^\ell \times \{0\}^\ell, \text{wt}(x_1) = q\}$.
- Expand each p -sum A_1y_0 into a small ball.
- Search for collisions between these balls.

Advantages of ball-collision decoding

- Disadvantage of collision decoding is that errors are required to avoid an asymptotically quite large stretch of ℓ positions.
- Requires extra work to enumerate the points in each ball, but the extra work is **only about the square root** of the improvement in success probability.
- The cost ratio is asymptotically **superpolynomial**.

1. The ball-collision decoding algorithm
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Success probability

- The chance that the algorithm succeeds after the first round is

$$\frac{\binom{k/2}{p}^2 \binom{\ell}{q}^2 \binom{n-k-2\ell}{w-2p-2q}}{\binom{n}{w}}.$$

- The expected number of iterations is very close to the reciprocal of the success probability of a single iteration.
- Ignore extremely unusual codes for which the average number of iterations is significantly different from the reciprocal of the success probability of a single iteration.

Cost of one iteration

- (Row-reduction)

$$\frac{1}{2}(n - k)^2(n + k)$$

- + (Use intermediate sums to construct balls [using speedup from Bernstein-Lange-P., PQCrypto 2008]+fast handling of adding q cols)

$$2\ell \left(2L(k/2, p) - k/2 \right) + 2 \min\{1, q\} \binom{k/2}{p} L(\ell, q)$$

- + (Collision step: compute the whole vector and check its weight [using speedup from Bernstein-Lange-P., PQCrypto 2008])

$$2(w - 2p - 2q + 1)(2p) \binom{k/2}{p}^2 \binom{\ell}{q}^2 2^{-2\ell}$$

where $L(k, p) = \sum_{i=1}^p \binom{k}{i}$.

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Example #1

- Bernstein-Lange-P. (PQCrypto 2008): parameters $(6624, 5129, 117)$ achieve **256-bit security** ($2^{255.87}$ bit ops)
- A **lower bound on collision decoding** are $2^{255.1787}$ bit operations (Finiasz–Sendrier, Asiacrypt 2009).
($1.6112985\times$ speedup compared to collision decoding)
- **Ball-collision decoding** with parameters $\ell = 47$, $p = 8$, and $q = 1$ needs only $2^{254.1519}$ bit operations to attack the same system.
- Ball-collision decoding results in a $3.2830\times$ speedup compared to the upper bound given at PQCrypto 2008.

Example #2

- Attacking a system based on a code with parameters $(30332, 22968, 494)$ requires $2^{1000.9577}$ bit operations using collision decoding with the optimal parameters $\ell = 140$, $p = 27$ and $q = 0$.
- The lower bound by Finiasz and Sendrier breaks the complexity down to $2^{999.45027}$, $2.8430\times$ smaller than the cost of collision decoding.
- Ball-collision decoding takes $2^{996.21534}$ bit operations. This is $26.767\times$ smaller than the cost of collision decoding, and $9.415\times$ smaller than the Finiasz–Sendrier lower bound. (using parameters $\ell = 156$, $p = 29$ and $q = 1$).

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Finiasz–Sendrier bound

- Finiasz and Sendrier. *Security bounds for the design of code-based cryptosystems*. Asiacrypt 2009.

The gain of the new version of ISD is $\approx \lambda \sqrt[4]{\pi p/2}$ which [...] correspond to the improvement of the “birthday paradox” part of the algorithm.

- Any polynomial factor in n makes *no* change in the asymptotic cost exponent. (in the FS paper changes to the ℓ -stretch depend on k (which depends on n))
- The speedup from ball-collision decoding is asymptotically much larger than the speedup from the birthday trick.

Asymptotic analysis

Input sizes

- Fix a real number W with $0 < W < 1/2$, and fix a real number R with
 $-W \lg W - (1 - W) \lg(1 - W) \leq 1 - R < 1$.
- Consider codes and error vectors of very large length n , where the codes have dimension $k \approx Rn$, and the error vectors have weight $w \approx Wn$.

Attack parameters

- Fix real numbers P, Q, L with $0 \leq P \leq R/2$, $0 \leq Q \leq L$, and $0 \leq W - 2P - 2Q \leq 1 - R - 2L$.
- Fix ball-collision parameters p, q, ℓ with $p/n \rightarrow P$, $q/n \rightarrow Q$, and $\ell/n \rightarrow L$.

Tools

We repeatedly invoke the standard asymptotic formula for binomial coefficients, namely

$$\frac{1}{n} \lg \binom{(\alpha + o(1))n}{(\beta + o(1))n} \rightarrow \alpha \lg \alpha - \beta \lg \beta - (\alpha - \beta) \lg(\alpha - \beta).$$

Success probability

Asymptotic exponent of the success probability of a single iteration of ball-collision decoding:

$$\begin{aligned} B(P, Q, L) &= \lim_{n \rightarrow \infty} \frac{1}{n} \lg \left(\binom{n}{w}^{-1} \binom{n-k-2\ell}{w-2p-2q} \left(\frac{k}{2}\right)^2 \binom{\ell}{q}^2 \right) \\ &= W \lg W + (1-W) \lg(1-W) \\ &\quad + (1-R-2L) \lg(1-R-2L) \\ &\quad - (W-2P-2Q) \lg(W-2P-2Q) \\ &\quad - (1-R-2L - (W-2P-2Q)) \\ &\quad \quad \cdot \lg(1-R-2L - (W-2P-2Q)) \\ &\quad + R \lg(R/2) - 2P \lg P - (R-2P) \lg(R/2 - P) \\ &\quad + 2L \lg L - 2Q \lg Q - 2(L-Q) \lg(L-Q). \end{aligned}$$

The success probability of a single iteration is asymptotically $2^{n(B(P,Q,L)+o(1))}$.

Iteration cost

We similarly compute the asymptotic exponent of the cost of an iteration:

$$\begin{aligned}C(P, Q, L) &= \lim_{n \rightarrow \infty} \frac{1}{n} \lg \left(2 \binom{k/2}{p} \binom{\ell}{q} + \binom{k/2}{p}^2 \binom{\ell}{q}^2 2^{-2\ell} \right) \\&= \max \{ (R/2) \lg(R/2) - P \lg P \\&\quad - (R/2 - P) \lg(R/2 - P) + L \lg L - Q \lg Q \\&\quad - (L - Q) \lg(L - Q), \\&\quad R \lg(R/2) - 2P \lg P - (R - 2P) \lg(R/2 - P) \\&\quad + 2L \lg L - 2Q \lg Q \\&\quad - 2(L - Q) \lg(L - Q) - 2L \}.\end{aligned}$$

The cost of a single iteration is asymptotically $2^{n(C(P,Q,L)+o(1))}$.

Comparison (interval arithmetic)

Take $W = 0.04$ and

$$R = 1 + W \lg W + (1 - W) \lg(1 - W) = 0.7577078109 \dots$$

- Choose $P = 0.004203556640625$, $Q = 0.000192998046875$, and $L = 0.017429431640625$.
- Then the ball-collision decoding exponent is $D(P, Q, L) = C(P, Q, L) - B(P, Q, L) = 0.0807023942 \dots$
- Choosing $P = 0.00415087890625$, $Q = 0$, and $L = 0.0164931640625$ achieves decoding exponent $0.0809085120 \dots$
- We partitioned the (P, L) space into small intervals and performed interval-arithmetic calculations to show that $Q = 0$ cannot do better than 0.0809 .

Proof: $Q = 0$ is always suboptimal

Theorem

For each R, W it holds that

$$\min\{D(P, 0, L) : 0 \leq P \leq R/2, 0 \leq W - 2P \leq 1 - R - 2L\}$$
$$> \min \left\{ D(P, Q, L) : \begin{array}{l} 0 \leq P \leq R/2, 0 \leq Q \leq L, \\ 0 \leq W - 2P - 2Q \leq 1 - R - 2L \end{array} \right\}.$$

Sketch:

- Increase Q from 0 to δ and increase L by $-(1/2)\delta \lg \delta$, for very small δ while obeying the parameter space.
- We show that the optimal collision-decoding parameters $(P, 0, L)$ are beaten by $(P, \delta, L - (1/2)\delta \lg \delta)$ for all sufficiently small $\delta > 0$.

Asymptotics for non-constant error fractions

- Constant rates and constant error fractions are traditional in the study of coding-theory asymptotics.
- McEliece uses error fraction approximately $(1 - R)/\lg n$ ($1/\lg n$ slowly decreases to 0 as $n \rightarrow \infty$).
- Asymptotics for collision-decoding cost in general appear to have the form

$$(1 - R)^{-(1-R)n/\lg n + (\text{constant} + o(1))n/(\lg n)^2}.$$

(Bernstein, Lange, Peters, van Tilborg, WCC 2009).

- The ball-collision-decoding speedup factor is asymptotically $2^{(c+o(1))n/(\lg n)^2}$ with $c > 0$.
- This factor is asymptotically much larger than any of the recent speedups in [BLP, PQC'08] and [FS, Asiacrypt'09].

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Lower bound

- We propose a new lower bound

$$\min \left\{ \frac{1}{2} \binom{n}{w} \binom{n-k}{w-p}^{-1} \binom{k}{p}^{-1/2} : p \geq 0 \right\}$$

which gives security levels in the same ballpark of the cost of known attacks.

- Parameters protecting against this bound pay only about a 20% performance penalty at high security levels, compared to parameters that merely protect against known attacks.

Conservative bound

Apply conservative bound:

- For the original parameters $(n, k, w) = (1024, 524, 50)$ the bound is $2^{49.69}$
- For $(n, k, w) = (6624, 5129, 117)$ one gets $2^{236.49}$

Our suggestion:

- $(n, k, w) = (3178, 2384, 68)$ achieve 128-bit security against our bound.
- For 256-bit security $(n, k, w) = (6944, 5208, 136)$ are recommended.

Preprint

Daniel J. Bernstein, Tanja Lange, Christiane Peters.
Ball-collision decoding. 2010.
<http://eprint.iacr.org/2010/585>

Thank you for your attention!