

# Applications of Information-set Decoding in Cryptanalysis

Christiane Peters

Technical University of Denmark

MSR Talk Series

Redmond – March 14, 2013

# Outline

1. Basics
2. Code-based Cryptography
3. Information-Set Decoding
4. Implications for Cryptography

1. Basics

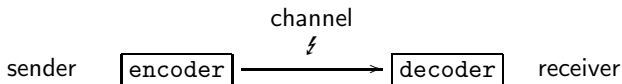
2. Code-based Cryptography

3. Information-Set Decoding

4. Implications for Cryptography

# Coding Theory

- The sender uses an **encoder** to transform a message into a **codeword** by adding redundancy.
- Goal: protect against errors in a noisy channel.



- The receiver uses a **decoding algorithm** to correct errors which might have occurred during transmission.

## Linear encoding

- A message  $\mathbf{m} \in \mathbf{F}_2^k$  is encoded into a **codeword**  $\mathbf{x} \in \mathbf{F}_2^n$  which satisfies

$$H\mathbf{x} = \mathbf{0}$$

for an  $r \times n$ -matrix  $H$  where  $r = n - k \geq 0$ .

### Example:

- Let  $H = (A \mid I_r)$ , then encoding  $\mathbf{m} = (m_1, \dots, m_k)$  into  $\mathbf{x} = (x_1, \dots, x_n)$  simply means setting

$$x_1 = m_1, \dots, x_k = m_k$$

and then choosing the remaining  $x_j$  so that  $H\mathbf{x} = \mathbf{0}$ .

## Error-correcting linear codes

The linear code  $C$  with parity-check matrix  $H \in \mathbf{F}_2^{r \times n}$  consists of all codewords  $\mathbf{x} \in \mathbf{F}_2^n$  such that  $H\mathbf{x} = \mathbf{0}$ .

Properties:

- The codewords in  $C$  form a linear subspace of dimension  $n - r$  of  $\mathbf{F}_2^n$ .
- We say that  $C$  has length  $n$  and dimension  $n - r$ .

## Example: Hamming code

A parity-check matrix for the (7, 4, 3)-Hamming code is given by

$$H = \begin{pmatrix} 1 & 0 & 1 & 0 & 1 & 0 & 1 \\ 0 & 1 & 1 & 0 & 0 & 1 & 1 \\ 0 & 0 & 0 & 1 & 1 & 1 & 1 \end{pmatrix}.$$

Example of a **codeword**:  $\mathbf{x} = (1001100)$ .

# Hamming metric

- The **Hamming distance** of  $\mathbf{x}, \mathbf{y} \in \mathbf{F}_2^n$  is

$$\text{dist}(\mathbf{x}, \mathbf{y}) = \#\{i \in \{1, \dots, n\} : x_i \neq y_i\}.$$

- The **Hamming weight** of a word  $\mathbf{x} \in \mathbf{F}_2^n$  is

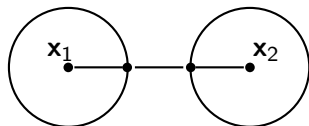
$$\text{wt}(\mathbf{x}) = \#\{i \in \{1, \dots, n\} : x_i \neq 0\}.$$

- The **minimum distance** of a linear code  $C$  is defined as

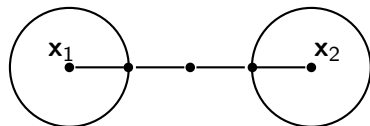
$$d(C) = \min_{\substack{\mathbf{x}, \mathbf{y} \in C \\ \mathbf{x} \neq \mathbf{y}}} \text{dist}(\mathbf{x}, \mathbf{y}) = \min_{\substack{\mathbf{x} \in C \\ \mathbf{x} \neq \mathbf{0}}} \text{wt}(\mathbf{x}).$$



## Minimum distance



code with  $d = 3$



code with  $d = 4$

# Syndromes

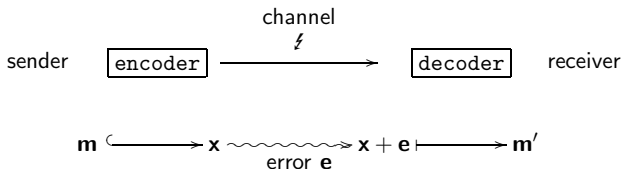
- The **syndrome** of a vector  $\mathbf{y}$  in  $\mathbf{F}_2^n$  with respect to  $H$  is the vector  $H\mathbf{y}$  in  $\mathbf{F}_2^r$ .

Given  $\mathbf{y} = \mathbf{x} + \mathbf{e}$  for  $\mathbf{x} \in C$  and  $\mathbf{e} \in \mathbf{F}_2^n$ . By linearity

$$H\mathbf{y} = H(\mathbf{x} + \mathbf{e}) = H\mathbf{x} + H\mathbf{e} = H\mathbf{e}$$

since  $H\mathbf{x} = \mathbf{0}$ .

- The space  $\mathbf{F}_2^n$  can be **partitioned** into  $2^r$  cosets  $\mathbf{y} + C$ .
- A word  $\mathbf{e}$  of minimum weight in  $\mathbf{y} + C$  is called **coset leader**.



# Decoding problem

Syndrome-decoding problem:

- ▶ given an  $r \times n$  binary matrix  $H$ ,
- ▶ a vector  $\mathbf{s} \in \mathbf{F}_2^r$ ,
- ▶ and  $w \geq 0$ ,

find  $\mathbf{e} \in \mathbf{F}_2^n$  of weight  $\leq w$  such that  $H\mathbf{e} = \mathbf{s}$ .

## Decoding needs structure

There are lots of code families with fast decoding algorithms

- E.g., Goppa codes/alternant codes, Reed-Solomon codes, Gabidulin codes, Reed-Muller codes, algebraic-geometric codes, convolutional codes, LDPC codes etc.

All those decoding algorithms use information on the structure of the code.

## Generic decoding is hard

However, given a random binary matrix  $H$ ,

Berlekamp, McEliece, van Tilborg (1978) showed that the general decoding problem is **NP-hard**.

- The best known generic decoding algorithms all take exponential time.
- About  $2^{(0.5+o(1))n/\log n}$  binary operations required for a code of length  $n$ , dimension  $\approx 0.5n$ , and minimum distance  $\approx n/\log n$ .

1. Basics

2. Code-based Cryptography

3. Information-Set Decoding

4. Implications for Cryptography

# Code-based Cryptography

- McEliece proposed a **public-key cryptosystem** based on error-correcting codes in 1978.
- Secret key is a linear error-correcting code with an efficient decoding algorithm.
- Public key is a transformation of the secret inner code which is hard to decode.

# A code-based cryptosystem

Consider Niederreiter's dual version of McEliece's cryptosystem.

- The **public key** is an  $r \times n$  matrix  $H$  and an integer  $w \geq 0$ .

Encryption of a message  $\mathbf{m}$ :

1. Use a constant-weight-word encoder to convert message  $\mathbf{m}$  into a word  $\mathbf{e} \in \mathbf{F}_2^n$  of weight  $w$ .
2. Send the ciphertext  $\mathbf{s} = H\mathbf{e}$ .

**Constant-weight-word encoding** is a bijection  $\Phi$  between messages of fixed length and the set of words of length  $n$  and weight  $w$ .



## Secret key

**Trapdoor one-way function:** the public key  $H$  has a hidden Goppa-code structure allowing fast decoding of  $w$  errors:

$$H = MH'P$$

where

- $H'$  is the parity-check matrix of a Goppa code  $\Gamma$  of length  $n$  and dimension  $n - r$  and **minimum distance**  $2w + 1$ ,
- $M$  is a random  $r \times r$  invertible matrix, and
- $P$  is a random  $n \times n$  permutation matrix.

The triple  $(H', M, P)$  forms the **secret key**.

# Decryption

Decryption of a ciphertext  $\mathbf{s} = H\mathbf{e}$  using the secret decomposition  $H = MH'P$ .

1. Compute  $M^{-1}\mathbf{s} = H'P\mathbf{e}$ .
2. Use the decoding algorithm for  $\Gamma$  to find the weight- $w$  word  $P\mathbf{e}$ .
3. Compute  $\mathbf{m}$  using  $\Phi^{-1}(\mathbf{e})$  after multiplication with  $P^{-1}$ .

# Conversions

- This is the “text-book” version of code-based crypto.
- Plaintexts are not randomized.
- Use CCA2-secure conversions by Kobara–Imai (PKC 2001) when implementing the systems.

# Security assumptions

## Key security

- relies on the difficulty of **retrieving the secret code** from the public code; i.e., decompose  $H$  into  $MH'P$  to get specifications for a decoding algorithm for  $H'$ .

## Single-target attacks

- Decryption security relies on **hardness of the syndrome-decoding problem** assuming that  $H$  does not leak information about its structure.

## Security level

- A system has  **$b$ -bit security** if it takes at least  $2^b$  bit operations to decrypt a single ciphertext.

1. Basics

2. Code-based Cryptography

**3. Information-Set Decoding**

4. Implications for Cryptography

## Generic decoding

Best known generic decoding methods rely on so-called **information-set decoding** or in short: **ISD**.

Quite a long history:

1962 Prange; 1981 Clark (crediting Omura); 1988 Lee–Brickell;  
1988 Leon; 1989 Krouk; 1989 Stern; 1989 Dumer;  
1990 Coffey–Goodman; 1990 van Tilburg; 1991 Dumer;  
1991 Coffey–Goodman–Farrell; 1993 Chabanne–Courteau;  
1993 Chabaud; 1994 van Tilburg; 1994 Canteaut–Chabanne;  
1998 Canteaut–Chabaud; 1998 Canteaut–Sendrier;  
2008 Bernstein–Lange–P.; 2009 Finiasz–Sendrier; 2010 P.;  
2011 Bernstein–Lange–P.; 2011 May–Meurer–Thomae;  
2012 Becker–Joux–May–Meurer.

# ISD in Magma

- Papers in the last 5 years were aiming at attacking actual cryptographic parameters,
- focusing on either **implementations** or **asymptotic analyses**.

Basic ISD algorithms (until year 1998) are implemented in Magma:

- ▶ `DecodingAttack`
- ▶ `McEliecesAttack`
- ▶ `LeeBrickellsAttack`
- ▶ `LeonsAttack`
- ▶ `SternsAttack`
- ▶ `CanteautChabaudsAttack`

## Generic decoder

Build a decoder which gets as input

- a parity-check matrix  $H$ ,
- a ciphertext  $\mathbf{y} \in \mathbf{F}_2^n$ , and
- an integer  $w \geq 0$ .

The algorithm tries to determine an **error vector**  $\mathbf{e}$  of weight  $= w$  such that

$$\mathbf{s} = H\mathbf{y} = H\mathbf{e}.$$



## Problem

$$\begin{array}{ccccccc} & \vdots & & & & \vdots & \vdots \\ 1 & 1 & 1 & & & 0 & 0 \\ 1 & 0 & 0 & & & 1 & 1 \\ 0 & 1 & 1 & \dots & & 0 & 0 \\ 0 & 1 & 0 & & & 1 & 1 \\ 1 & 1 & 1 & & & 1 & 0 \\ & \vdots & & & & \vdots & \vdots \end{array}$$

$\mathbf{c}_1 \mathbf{c}_2 \mathbf{c}_3$

$\dots$

$\mathbf{c}_n \quad \mathbf{s} = \mathbf{c}_2 + \mathbf{c}_3 + \mathbf{c}_{18} + \mathbf{c}_{20} + \mathbf{c}_{24} + \dots$

Given an  $r \times n$  matrix, a syndrome  $\mathbf{s}$ .

**Goal:** find  $w$  columns of  $H$  with xor  $\mathbf{s}$ .

## Row randomization

⋮					⋮	⋮
1	1	1			0	0
1	0	0			1	1
0	1	1	.....		0	0
0	1	0			1	1
1	1	1			1	0
⋮					⋮	⋮

$c_1 c_2 c_3$

.....

$c_n \quad \mathbf{s} = c_2 + c_3 + c_{18} + c_{20} + c_{24} + \dots$

Can arbitrarily permute rows without changing the problem.

**Goal:** find  $w$  columns of  $H$  with xor  $\mathbf{s}$ .

## Row randomization

⋮					⋮	⋮
1	0	0			1	1
1	1	1			0	0
0	1	1	.....		0	0
0	1	0			1	1
1	1	1			1	0
⋮					⋮	⋮

$c_1 c_2 c_3$

.....

$c_n \quad \mathbf{s} = c_2 + c_3 + c_{18} + c_{20} + c_{24} + \dots$

Can arbitrarily permute rows without changing the problem.

**Goal:** find  $w$  columns of  $H$  with xor  $\mathbf{s}$ .

## Column normalization

⋮					⋮	⋮
1	0	0			1	1
1	1	1			0	0
0	1	1	.....		0	0
0	1	0			1	1
1	1	1			1	0
⋮					⋮	⋮

$\mathbf{c}_1 \mathbf{c}_2 \mathbf{c}_3$

.....

$\mathbf{c}_n \quad \mathbf{s} = \mathbf{c}_2 + \mathbf{c}_3 + \mathbf{c}_{18} + \mathbf{c}_{20} + \mathbf{c}_{24}$

Can arbitrarily permute columns without changing the problem.

**Goal:** find  $w$  columns of  $H$  with xor  $\mathbf{s}$ .

## Column normalization

⋮					⋮	⋮
0	1	0			1	1
1	1	1			0	0
1	0	1	.....		0	0
1	0	0			1	1
1	1	1			1	0
⋮					⋮	⋮

$\mathbf{c}_1 \mathbf{c}_2 \mathbf{c}_3$

.....

$\mathbf{c}_n \quad \mathbf{s} = \mathbf{c}_1 + \mathbf{c}_3 + \mathbf{c}_{18} + \mathbf{c}_{20} + \mathbf{c}_{24} + \dots$

Can arbitrarily permute columns without changing the problem.

**Goal:** find  $w$  columns of  $H$  with xor  $\mathbf{s}$ .

## Information-set decoding

1	0	1	.....	1	1	0	0	.....	0	0
0	1	1	.....	1	0	1	0	.....	0	1
1	1	0	.....	0	0	0	1	.....	0	1
										0
1	0	1	.....	1	0	0	0	.....	1	0

$c_1 c_2 c_3$

$c_k c_{k+1}$

$c_n \quad s = c_3 + c_7 + c_{28} + c_{30}$

Can add one row to another  $\Rightarrow$  build identity matrix.

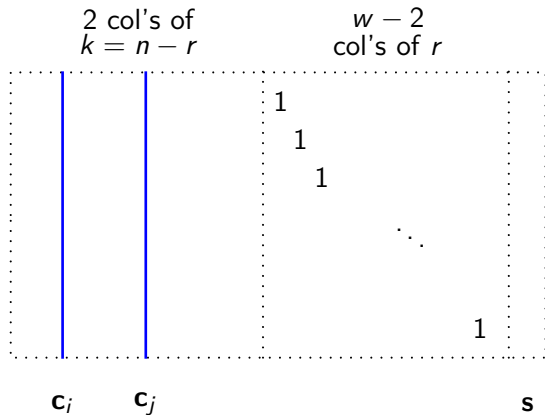
**Goal:** find  $w$  columns which xor  $s$ .

## Basic information-set decoding

Prange (1962):

- Perhaps xor involves none of the first  $n - r$  columns.
- If so, immediately see that  $\mathbf{s}$  is constructed from  $w$  columns from the identity submatrix.
- If not, re-randomize and restart – this is a **probabilistic** algorithm.
- Expect about  $\frac{\binom{n}{w}}{\binom{r}{w}}$  iterations.

## Lee-Brickell



Check for each pair  $(i, j)$  with  $1 < i < j \leq k$  if  $\mathbf{s} + \mathbf{c}_i + \mathbf{c}_j$  has weight  $w - 2$ .



## Decreasing the number of iterations

Lee–Brickell (1988):

- More likely that xor involves exactly 2 of the first  $n - r$  columns.
- Check for each pair  $(i, j)$  with  $1 < i < j \leq n - r$  if  $\mathbf{s} + \mathbf{c}_i + \mathbf{c}_j$  has weight  $w - 2$ .
- Expect about  $\frac{\binom{n}{w}}{\binom{n-r}{2}\binom{r}{w-2}}$  iterations, each checking  $\binom{n-r}{2}$  sums  $\mathbf{s} + \mathbf{c}_i + \mathbf{c}_j$ .

## Decreasing the number of iterations

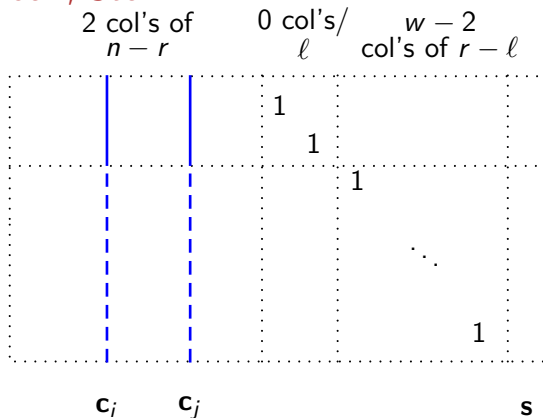
Lee–Brickell (1988):

- More likely that xor involves exactly  $p$  of the first  $n - r$  columns.
- Check for each pair  $(i, j)$  with  $1 < i < j \leq n - r$  if  $\mathbf{s} + \mathbf{c}_i + \mathbf{c}_j$  has weight  $w - p$ .
- Expect about  $\frac{\binom{n}{w}}{\binom{n-r}{p}\binom{r}{w-p}}$  iterations, each checking  $\binom{n-r}{p}$  sums  $\mathbf{s} + \mathbf{c}_{i_1} + \dots + \mathbf{c}_{i_p}$ .

Note

- Cost for computing these sums grows with  $p$ .
- Choosing  $p = \frac{w}{2}$  would minimize # iterations but increase cost of each iterations enormously;  $p = 2$  is optimal.

# Leon, Krouk, Stern



Check for each pair  $(i, j)$  with  $1 < i < j \leq n - r$  if  $\mathbf{s} + \mathbf{c}_i + \mathbf{c}_j$  has weight  $w - 2$  and the first  $\ell$  bits all zero.

- Early abort if  $\mathbf{s} + \mathbf{c}_i + \mathbf{c}_j \neq \mathbf{0}$  on first  $\ell$  bits.

# Improvements

Leon (1989), Krouk (1989):

- Check for each  $(i, j)$  if  $\mathbf{s} + \mathbf{c}_i + \mathbf{c}_j$  has weight  $w - 2$  and the first  $\ell$  bits all zero.
- Fast to test, iteration cost decreases.
- Expect about  $\frac{\binom{n}{w}}{\binom{n-r}{2}\binom{r-\ell}{w-2}}$  iterations – only a few more than for Lee–Brickell.

# Collision decoding

Stern (1989): enforce 0's on first  $\ell$  bits using a meet-in-the-middle approach  $\Rightarrow$  square-root improvement.

## Strategy

- Split first  $n - r$  columns in two disjoint sets of equal size; draw  $\mathbf{c}_i$ 's from the left,  $\mathbf{c}_j$ 's from the right set.
- Find collisions between first  $\ell$  bits of  $\mathbf{s} + \mathbf{c}_i$  and the first  $\ell$  bits of  $\mathbf{c}_j$ .
- For each collision, check if  $\mathbf{s} + \mathbf{c}_i + \mathbf{c}_j$  has weight  $w - 2$ .

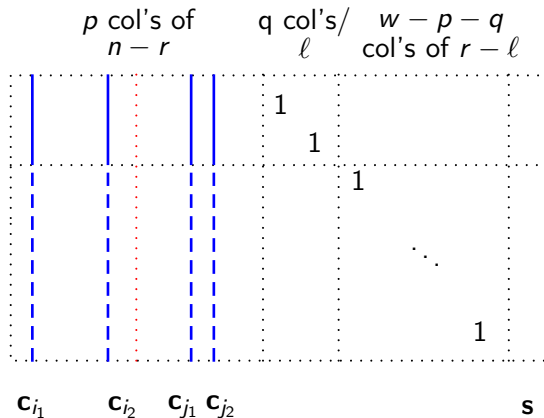
## Collision decoding

Stern (1989): enforce 0's on first  $\ell$  bits using a meet-in-the-middle approach  $\Rightarrow$  square-root improvement.

### Strategy

- Split first  $n - r$  columns in two disjoint sets of equal size; draw  $\mathbf{c}_i$ 's from the left,  $\mathbf{c}_j$ 's from the right set.
- Find collisions between first  $\ell$  bits of  $\mathbf{s} + \mathbf{c}_{i_1} + \cdots + \mathbf{c}_{i_{p/2}}$  and the first  $\ell$  bits of  $\mathbf{c}_{j_1} + \cdots + \mathbf{c}_{j_{p/2}}$ .
- For each collision, check if  $\mathbf{s} + \mathbf{c}_{i_1} + \cdots + \mathbf{c}_{i_{p/2}} + \mathbf{c}_{j_1} \cdots + \mathbf{c}_{j_{p/2}}$  has weight  $w - p$ .
- Expect about  $\frac{\binom{n}{w}}{\binom{(n-r)/2}{p/2}^2 \binom{r-\ell}{w-p}}$  iterations.

## Ball-collision decoding



- Disjoint split of columns on the left.
- Allow a few zeros in the previously “forbidden zone”.

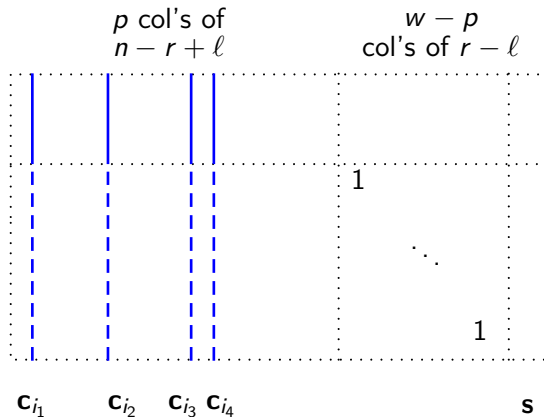
## Ball-collision decoding

Bernstein, Lange, P. (2011):

- Find collisions between the Hamming ball of radius  $q$  around  $\mathbf{s} + \mathbf{c}_{i_1} + \cdots + \mathbf{c}_{i_p}$  and the Hamming ball of radius  $q$  around  $\mathbf{c}_{j_1} + \cdots + \mathbf{c}_{j_p}$ .
- Main theorem: (asymptotically) exponential speedup of ball-collision decoding over Stern's collision decoding.
- Reference implementation of ball-collision decoding:  
<http://cr.yp.to/ballcoll.html>



## Using representations



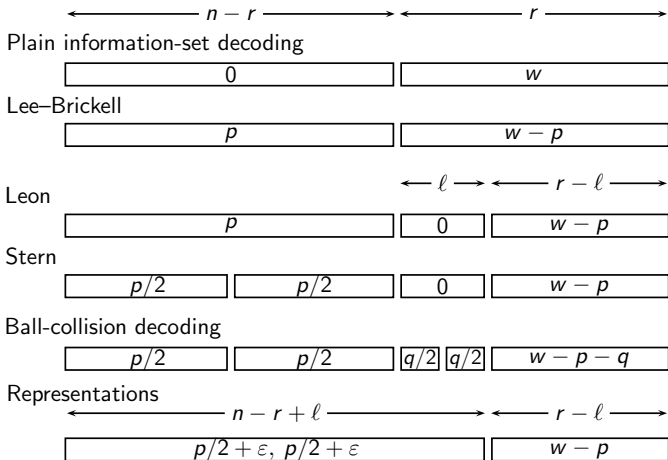
- Only partial Gauss elimination.
- Consider **selected** sums of  $p$  columns out of  $n - r + l$ .

## Increase number of $p$ -sums

May–Meurer–Thomae (2011), Becker–Joux–May–Meurer (2012):

- Increase number of words with 0's on first  $\ell$  positions by removing the split of  $n - r$  columns into two disjoint sets.
- Do not check all  $\binom{k}{p}$  sums  $\mathbf{s} + \mathbf{c}_{i_1} + \dots + \mathbf{c}_{i_p}$ .
- Examine a fraction of those sums using representation technique by Howgrave-Graham–Joux (2010).
- Main theorem: (asymptotically) exponential speedup of representation technique over ball-collision decoding.

# Error distributions



# Asymptotics

Recent papers are mostly asymptotic speedups.

- Gains are significant for coding-theoretic values for the minimum distance (Gilbert–Varshamov radius).
- For cryptographic applications, only small differences in cost between Stern's algorithm, ball-collision decoding, representation decoding.
- Bernstein, Lange, P., van Tilborg (2009): asymptotic analysis of ISD for McEliece minimum distances  $d \approx n/\log n$ .

1. Basics

2. Code-based Cryptography

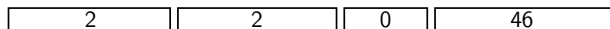
3. Information-Set Decoding

4. Implications for Cryptography

## Practical ISD

Bernstein, Lange, P. (2008):

- use variant of Stern's algorithm



to extract a plaintext from a ciphertext by decoding  $w = 50$  errors in a binary code with  $n = 2^{10}$  and  $r = 500$ .

- Faster by a factor of more than 150 than previous attacks; within reach of a moderate cluster of computers.

Break of original McEliece parameters:

- About 200 (academic) computers involved, with about 300 cores; computation finished in under 90 days; used about 8000 core-days.

## Key sizes

- Suggestion: for 128-bit security of the McEliece cryptosystem take a binary Goppa code with  $n = 2960$ ,  $r = 672$ , and  $w = 57$  errors.
- The public-key size here is  $187kB$  for 128-bit security against ISD.

# Challenges

Go to

`http://pqcrypto.org/wild-challenges.html`

- For different setups, challenges are indexed by field size and by key size.
- Each challenge consists of a public key and a ciphertext.
- Find matching plaintext (or even to find the secret keys).

Inspired by `latticechallenge.org` project at TU Darmstadt.

- Want: cryptanalytic benchmarks.
- Build confidence in new setups (e.g., wild McEliece).



## Conclusion

- Many variants of information-set-decoding algorithms.
- All of them have exponential running time.
- Useful to estimate security levels in code-based (and lattice-based?) cryptography.
- Simple **Pari/GP script** and more sophisticated **C-code** using GMP/MPFR/MPFI to estimate parameters:

<https://bitbucket.org/cbcrypto/isdfq/>

Thank you for your attention!